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# HÅKAN NILSSON

## RESILIENT APPLIANCE THERAPY OF TEMPOROMANDIBULAR DISORDERS

Subdiagnoses, sense of coherence and treatment outcome



Landstinget  
i Kalmar Län  
**Folktandvården**



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OF TEMPOROMANDIBULAR DISORDERS  
SUBDIAGNOSES, SENSE OF COHERENCE AND  
TREATMENT OUTCOME**

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## ABSTRACT

Temporomandibular disorders (TMD) with orofacial pain with or without reduced jaw function, are frequent conditions in the general population. Different factors such as tooth clenching and grinding, sometimes due to enhanced psychosocial stress, and trauma to the jaws may be important as etiologic factors. Signs and symptoms of TMD are a common cause for general practitioners to use different intraoral appliances as pain and bite-force reducing devices and for improvement of a reduced jaw function. Intraoral appliances are often used parallel to other treatment modalities. Before treatment start a thorough history taking and clinical examination is necessary for a relevant diagnosis. Sometimes the diagnostic process has to be complemented with proper radiographic imaging in order to support the diagnostic process.

The overall aim of this thesis was to compare magnetic resonance imaging (MRI) findings of the TMJ on the clinically assessed diagnoses and to evaluate short- and long-term treatment outcome of a resilient intraoral appliance, in patients with TMD pain. A further aim was to study Sense of Coherence as an influencing factor on treatment outcome, on these patients.

In article I the aim was to compare findings on MRI in TMD pain patients with clinical diagnoses of myofascial pain or arthralgia/ osteoarthritis in combination with myofascial pain according to the Research Diagnostic Criteria for TMD (RDC/TMD). The temporomandibular joints of 60 consecutive patients, 19 with myofascial pain and 41 patients with arthralgia/osteoarthritis in combination with myofascial pain were examined clinically and with MRI. The most common MRI findings were disc displace-

ments with or without reduction and structural bone changes. These findings were found in both pain groups, however, disc displacements were found significantly more often in patients with arthralgia/osteoarthritis in combination with myofascial pain. Joint fluid was found in both pain groups. The clinical diagnoses for subdivision into myogenous only or combined arthrogenous and myogenous pain groups were not confirmed by MRI findings.

In article II the short-term efficacy of a resilient appliance compared to a non-occluding control appliance was studied in a randomised, controlled trial with 80 recruited TMD pain patients. They were randomly allocated to one of two groups: treatment with a resilient appliance or treatment with a hard, palatal, non-occluding appliance. After 6 and 10 weeks of treatment, characteristic pain intensity (CPI) decreased in both groups. There was no statistically significant difference found between the resilient appliance and the non-occluding control appliance in reducing TMD pain in a short-term perspective.

In article III possible factors of importance for treatment outcome were studied as well as the association between Sense of Coherence and grade of depression, and grade of non-specific physical symptoms and general health, in the TMD pain patients. A total of 73 TMD pain patients participated; 36 were treated with a resilient appliance and 37 with a non-occluding control appliance. The findings indicated that none of the studied background variables (age, gender, SoC, depression, nonspecific physical symptoms or general health) seemed to influence the short-term efficacy of intraoral appliances. In the TMD pain patients, no associations were found between SoC and depression, non-specific physical symptoms or general health.

In article IV the long-term efficacy was evaluated of the resilient appliance compared to the non-occluding control appliance in the TMD pain patients. Appliance wear was also studied in this article. As in the short-term follow-up, there was no statistically significant difference between the resilient appliance and the non-occluding control appliance in reducing TMD pain in the long-term perspective.

# POPULÄRVETENSKAPLIG SAMMANFATTNING

Temporomandibulär dysfunktion (TMD) med käk- och/eller ansiktssmärta, med eller utan nedsatt käkfunktion, är vanligt förekommande tillstånd i befolkningen. Tandpressning och gnissling, som kan vara utlösta på grund av hög psykosocial stress, utgör tillsammans eller parallellt med bland annat käktrauma, orsaksfaktorer som kan ge upphov till TMD-symtom som smärta. Hos allmän tandläkaren är det vanligt att undersökningsfynd och symptom på TMD-besvär föranleder behandling med bettskena. Denna används då för att reducera sammanbitningskrafter och TMD-smärta, samt för att förbättra patientens käkfunktion. Bettskenebehandling pågår ofta parallellt med andra bettfysiologiska behandlingsinsatser för att uppnå bästa smärtlindrande resultat. Innan behandlingen påbörjas utförs en noggrann anamnesupptagning och klinisk undersökning för att komma fram till en behandlingsgrundande diagnos. Ibland bör den diagnostiska processen inbegripa någon typ av radiologisk undersökning.

Det övergripande målet med detta avhandlingsarbete var att studera en grupp patienter med TMD-smärta och jämföra deras käkledsfynd på magnetresonanstomografi (MRT) med diagnosen baserad på den kliniska undersökningen och studera behandlingsutfallet av bettskenebehandling med mjuk bettskena (resiliensskena), i ett korttids- och ett långtidsperspektiv. Ytterligare ett mål var att studera "känsla av sammanhang" som påverkansfaktor på behandlingsutfallet.

I artikel I var målet att jämföra MRT-fynd på käklederna, hos patienter med TMD smärta, med de kliniska diagnoserna myofa-

social smärta och myofascial smärta i kombination med artralgi eller osteoartrit enligt diagnossystemet RDC/TMD, speciellt framtaget för diagnostik i forskningssammanhang. De vanligaste fynden på MRT-bilderna var diskdisplaceringar med eller utan återgång och strukturella benförändringar. Dessa fynd förekom i båda diagnosgrupperna, men diskdisplacering iaktogs oftare hos patienterna med myofascial smärta i kombination med artralgi eller osteoartrit. Att dela in de kliniska diagnoserna i grupperna myofascial smärta och myofascial smärta i kombination med artralgi eller osteoartrit, kunde inte bekräftas med MRT-fynden i denna studie.

I artikel II utvärderades korttidseffekten av behandling med resiliensskena jämfört med en kontrollskena. 80 patienter med TMD-smärta rekryterades och randomiserades till behandlingsgrupperna. Efter 6- och 10 veckors behandling utvärderades behandlingseffekten. Det fanns inga statistiskt signifikanta skillnader mellan resiliensskenan och kontrollskenan när det gällde att reducera TMD-smärtan hos patienterna i ett korttidsperspektiv.

I artikel III studerades faktorer av betydelse för behandlingsutfallet, dessutom studerades förhållandet mellan TMD smärtpatienternas "känsla av sammanhang" och depressionsgrad, somatiseringsgrad och generella hälsa. Resultatet talar för att inga av de studerade bakgrundsfaktorerna hade betydelse för behandlingseffekten av resiliensskenan i ett korttidsperspektiv. Ingen relation mellan "känsla av sammanhang" och depressionsgrad, somatiseringsgrad eller generell hälsa, kunde konstateras.

I artikel IV studerades långtidseffekten av resiliensskenan jämfört med kontrollskenan hos patienter med TMD-smärta. Slitaget av skenorna efter användning studerades också i denna artikel. Precis som i korttidsuppföljningen fanns det inga statistiskt signifikanta skillnader i smärtlindrande behandlingseffekt mellan resiliensskenan och kontrollskenan, i ett långtidsperspektiv.

## PREFACE

This thesis is based on the following publications/papers, which are referred to in the text by their Roman numerals:

- I            Limchaichana N, Nilsson H, Ekberg EC, Nilner M, Petersson A. Clinical diagnoses and MRI findings in patients with TMD pain. *J Oral Rehabil* 2007;34:237-245.
  
- II            Nilsson H, Limchaichana N, Nilner M, Ekberg EC. Short-term treatment of a resilient appliance in TMD pain patients: a randomized controlled trial. *J Oral Rehabil* 2009;36:547-555.
  
- III           Nilsson H, Ekberg EC. Do psychological factors and general health influence the short-term efficacy of resilient appliance therapy in patients with TMD pain? *Acta Odontol Scand* 2010;29: Epub ahead of print
  
- IV           Nilsson H, Vallon D, Ekberg EC. Long-term efficacy of a resilient appliance in TMD pain patients: a randomized, controlled trial. Submitted

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## ABBREVIATIONS

CPI	Characteristic pain intensity
IMMPACT	Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials
ITT	Intent to treat
MRI	Magnetic resonance imaging
NNT	Number needed to treat
RCT	Randomised controlled trial
RDC/TMD	Research Diagnostic Criteria for Temporomandibular Disorders
SCL-90-R	The Symptom Checklist -90 Revised
SoC	Sense of Coherence
TMD	Temporomandibular disorders
TMJ	Temporomandibular joint
VAS	Visual analogue scale





# INTRODUCTION

## **Oral health and temporomandibular disorders (TMD)**

Health was first defined by the World Health Organization (WHO) in 1946 as “a state of complete physical, psychological and social well-being, and not merely the absence of disease or infirmity”.<sup>1</sup> In the 1960s Andrew Twaddle applied the “disease-illness-sickness-triad” which is a widely used model.<sup>2</sup> After some criticism of the model, Hofmann and Eriksen<sup>3</sup> defined the concepts of the triad in 2001, as follows:

*Disease* is characterised in the sphere by health care professionals, and is the biomedical aspect of health and defined as “a bodily or mental occurrence that tends to reduce the capacity of the organism”.

*Illness* is characterised by the personal sphere and is “a subjective negative experience that tends to reduce the capacity of the person”.

*Sickness* is characterised by the social sphere and is “a social identity assigned to a human agent due to events that tend to reduce his or her social capacity”.

These three concepts are related and have different influences on human ailments.

Oral health is essential for the human being to be able to function in every day life. A pain free, natural movement of the mandible is

important for the personal nourishment and for the interaction and communication with fellow members of the society. Oral health is defined by the WHO as:

“a state of being free from chronic mouth and facial pain, oral and throat cancer, oral sores, birth defects as cleft lip and palate, periodontal (gum) disease, tooth decay and tooth loss, and other diseases and disorders that affect the oral cavity.”<sup>4</sup>

For dentists it is important to differentiate TMD from odontogenic pain in order to manage the patient accurately. Clinicians must be aware of the different orofacial pain conditions and know their distinguishing characteristics to make proper differential diagnoses of the pain enabling proper medical and/or dental therapy. It is important to evaluate and examine the patient in a structural process and, when needed, refer the patient to professions with formal competence to examine, treat, and evaluate the TMD pain.

Orofacial pain can be caused by different disorders localised to the tissues in the oral and facial region. Hence, orofacial pain can be divided into musculoskeletal, neurovascular, neuropathic and psychogenic.<sup>5</sup> The musculoskeletal type, which embraces muscle problems, mechanical problems of the TMJ, and different TMJ arthritides, is the most common type of orofacial pain that the general dental practitioner will find among patients seeking dental care due to symptoms of TMD. Many of these TMD problems can be managed adequately by the general dental practitioner. Sometimes patients with severe musculoskeletal orofacial pain have to be referred to an orofacial pain/TMD specialist for an extended evaluation. The orofacial pain/TMD specialist will be able to examine and treat more complicated cases of musculoskeletal pain and make some differential diagnoses of neurovascular pain (different types of headache, e.g. tension type headache) and neuropathic pain (peripheral neuropathic pain and some neuralgias, e.g. trigeminal neuralgia). The differential diagnostic process should preferably be performed in a multidisciplinary way with referral to different medical specialists, when needed. Of chronic orofacial pain conditions the TMD pain is the most common, similar to back

pain in its intensity, persistence and psychological impact.<sup>6</sup> In 2004 the International Headache Society made an effort to categorise and classify all of the hitherto known craniofacial pain conditions.<sup>7</sup> TMDs were included, among other conditions such as facial neuropathies and headache disorders, in this categorisation. It is important for dental practitioners to refer patients with headache to a medical specialist in e.g. neurology, for a second opinion when symptoms from the orofacial region are suspect and not merely musculoskeletal.

TMD embraces signs and symptoms from the orofacial region. The term was suggested in the 1990's by Dworkin et al.<sup>8</sup> and describes a cluster of painful disorders localised to the preauricular area, the TMJ and the masticatory muscles. Dysfunctions such as limitations and deviations in the mandibular range of motion and/or noises in the TMJ during mandibular function, may be included in signs and symptoms. Joint sounds are often described as clicking, popping, grating or crepitating. Other common symptoms are tiredness or fatigue in the jaw muscles, pain from the jaw, earache, headache, and facial pain. These different symptoms may be present at rest or during mandibular function, and sometimes they are aggravated by chewing and other mandibular movements. According to Hofmann and Eriksen<sup>3</sup> TMD may be considered an illness as it is an ailment for the individual with a subjective negative experience that tends to reduce the person's capacity (e.g. chewing), and as a sickness as the condition may reduce the person's social capacity (e.g. feeling embarrassed in public, difficulties when kissing). TMD pain, which is the main reason for patients to seek medical or dental care, must not be of neurogenic or psychogenic origin to be defined as TMD. Visceral, periodontal, dental, or cutaneous pain is also excluded from the TMD definition.<sup>8,9</sup>

The reported prevalence of TMD differs between investigations, but is considered the most common orofacial condition of non-dental origin.<sup>10</sup> The prevalence of TMD was reported to be 8% to 15% among women, and the corresponding figures reported for men were 3% to 10%.<sup>10</sup> The "true" prevalence of TMD is still debated due to lack of homogeneity in the diagnostic criteria through

the years in the various research groups. However there is evidence that signs and symptoms of TMD may be high in non-patient populations.<sup>11</sup> The prevalence figures of TMD in the general population are divergent and ranges from 1% to 75% for objective signs and from 5% to 33% for subjective symptoms.<sup>12</sup> In a meta-analysis it was found that in the adult Dutch population prevalence figures varied widely; clinical signs from 0% to 93% and symptoms from 6% to 93%.<sup>13</sup> The variety in figures could be explained by different materials and included a range of mild to severe signs and symptoms of TMD.

In a study of etiologic factors for TMD 10% of the adult population reported that they suffered from TMD pain.<sup>10</sup> In a population based study by Locker and Slade, 12.9% of a Canadian population reported functional pain or pain at rest due to TMD.<sup>14</sup>

Headache, and especially tension-type headache, has been reported in about 40-70% of patients with TMD.<sup>15, 16</sup> A Danish study reported that the prevalence of TMD in the studied headache population was 56.1%.<sup>17</sup> In their conclusion, the authors emphasised the importance of examining the masticatory system in tension-type headache sufferers and they underlined the necessity of a multidimensional approach to chronic headache patients.

Through the years, continuous research has provided modern medicine and dentistry with powerful diagnostic systems and treatment methods. This has, among other things, lead to ethical challenges for the clinician. The question “To treat or not to treat?” is important to consider in order to provide patients with high quality, evidence based care.<sup>3</sup> In 1988, Locker and Slade reported that the proportion of patients in need of treatment varied from 3.5% to 9.7% according to the case definition used.<sup>14</sup> Twenty years later Al-Jundi et al.<sup>18</sup> concluded in a meta-analysis that the treatment need in the general adult population with TMD symptoms is substantial and varies according to definition, criteria, and age. The meta-analysis performed in the review showed an estimated treatment need of approximately 16% in adult subjects with TMD symptoms, whilst subjects in the younger age groups (19 to 45 years)

had a higher estimated treatment need. This is a considerable number of potential patients with a treatment need. This may result in consequences for health care programs, and in many countries special achievement and financial resources are put into preventing and manage such conditions. High figures for prevalence, treatment need in the general adult population leads one to consider TMD both as a disease and a public health problem. It results in a great demand on health care systems to be cost-effective. However, one should keep in mind when allocating oral health resources that there is a discrepancy between need and demand for TMD treatment.

### **Diagnosing TMD**

Through the years different kinds of diagnostic systems have been used to classify TMD. All systems include listening to and recording of patient's chief complaints, the history of the problem and a clinical examination. Due to shortcomings of these methods, several classifications of TMD have been presented in order to improve the diagnostic process and secure an adequate treatment when needed.<sup>5,19</sup>

Pain is a subjective, multidimensional and complex experience for each patient according to the definition of the International Association for the Study of Pain.<sup>20</sup> Therefore, the patients' self-reported pain is fundamental for accurate diagnoses according to the Research Diagnostic Criteria for Temporomandibular Disorders (RDC/TMD). Another reason for seeking care is reduced mandibular function often in combination with TMD pain. Today, RDC/TMD is a widely accepted classification system for subdiagnoses of TMD, presented in 1992 by Dworkin and LeResche.<sup>8</sup> It is based on a dual-axis system, describing physical status on Axis I and psychological parameters on Axis II. This enables the classification system to reflect the complexity of the physical dimensions, as well as, the psychological dimensions of persistent TMD pain by developing criteria for the most common subdiagnoses of TMD. The use of RDC/TMD also enables researchers to investigate de-

mographics of study population and patient characteristics such as self-reported oral habits and other risk factors of TMD signs and symptoms. For Axis I diagnosis multiple diagnoses for the TMJ's are allowed, but only one diagnosis for the masticatory muscles. The patients Axis II profile contains graded chronic pain status, and scores for grade of depression and grade of non-specific physical symptoms, and summary score for limitations in the ability to use the jaw.

In RDC/TMD clear definitions of TMD diagnostic subgroups were presented. The standardised examination methods gather relevant data and make possible comparison of findings and replication of research in the most common forms of muscle- and joint-related TMD among diverse clinical investigators, the importance of clinicians to be calibrated has been emphasised by the authors.<sup>21, 22</sup> It has been tested for reliability concerning clinical measurements and has shown good results.<sup>23-25</sup> RDC/TMD diagnoses are divided into three groups:<sup>8, 26</sup>

- I. Muscle diagnoses
  - a. Myofascial pain
  - b. Myofascial pain with limited opening
- II. Disc displacements
  - a. Disc displacement with reduction
  - b. Disc displacement without reduction, with limited opening
  - c. Disc displacement without reduction, without limited opening
- III. Arthralgia, arthritis, arthrosis
  - a. Arthralgia
  - b. Osteoarthritis of the TMJ
  - c. Osteoarthrosis of the TMJ

Pain from the temporomandibular region accounts for most of the suffering for TMD patients, making subdiagnoses from group I

and III (a and b) the most prevalent.<sup>11</sup> RDC/TMD diagnoses can be made based on clinical and history criteria only, but imaging can be included in the diagnostic process of disc displacement without reduction, osteoarthritis, and osteoarthrosis.<sup>8</sup>

Arthrography and MRI are considered suitable imaging methods in the diagnosis of disc displacement while tomography is preferred in the diagnosis of osteoarthritis and osteoarthrosis.<sup>8</sup> A hierarchical model, for imaging technique's efficacy, in 6 levels was described by Fryback and Thornbury.<sup>27</sup> Levels 3-5 influence the orofacial pain/TMD specialist's clinical process when an accurate diagnosis and treatment modality is to be decided and when treatment outcome is evaluated. In a systematic literature review by Koh et al.<sup>28</sup> no clear evidence was found for a relationship between clinical and MRI diagnoses and findings. In another systematic literature review by Limchaichana et al.<sup>29</sup> the authors reported that it was impossible, at the time, to draw any conclusion about when the results of MRI examination resulted in a better treatment outcome for the TMD patients, due to the fact that none of the searched publications reported diagnostic thinking efficacy or therapeutic efficacy. In 1995 Nilner and Petersson<sup>30</sup> studied the influence of radiographic findings on tomography, on treatment outcome of TMD after one year of treatment. The authors concluded that no single radiographic finding was found to be related to treatment outcome and therefore tomography was considered to have a minor role in the management of TMD patients.

A continuous revision of the RDC/TMD was initiated by Dworkin and LeResche, from the beginning, in order to improve the system according to current and future research. Diagnostic criteria for TMD are under construction, supervised by the members of the international RDC/TMD consortium.<sup>26</sup> The up-dated system is planned to be published in the year 2010, and its purpose is to guide both general practitioners and specialists in a structured TMD diagnostic process. The results of the preparation of the up-dated diagnostic system have recently been published.<sup>31-36</sup>

## **Psychosocial factors, sense of coherence (SoC) and general health**

Psychosocial factors constitute significant components in pain in general and have to be taken into consideration when examining patients seeking care for TMD pain. Parallel to different orofacial signs and symptoms, there is evidence that TMD is characterised by increased psychological distress.<sup>9</sup> Patients suffering from chronic or long-standing pain conditions often also have an elevated grade of depression.<sup>37</sup> In 1996 a research team reported that patients with chronic TMD were also more likely to have signs of depression when compared to patients with a more recent onset of TMD.<sup>38</sup>

In Axis II of RDC/TMD a questionnaire, based on a modified version of the validated SCL-90-R scale, is included estimating grade of depression and grade of non-specific physical symptoms.<sup>39</sup> The three-graded rating scale contains normal, moderate, and severe grade of depression and non-specific physical symptoms. The modified SCL-90-R scale is used as a screening instrument assessing general psychological distress and discomfort. The instrument is not used to provide psychiatric diagnoses, rather it provides initial scientific support to validate evidence-based clinical decision-making.<sup>40</sup> Dworkin et al.<sup>37</sup> have shown a significant association between number of pain conditions reported and high levels of non-specific physical symptoms and depression as measured by SCL-90-R. They also concluded that the number of pain conditions reported was a good predictor of major depression.

In 1987 Antonovsky<sup>41</sup> presented the theoretical model of sense of coherence (SoC). The model is based on a salutogenic way of looking at health and unhealth in life. The objective of SoC is to measure the individual's capacity to adequately respond to stressors in daily life by using different strategies of coping.<sup>42</sup> SoC is defined as:

“[-] a global orientation that expresses the extent to which one has a pervasive, enduring though dynamic feeling of confidence that (1) the stimuli deriving from one's internal and external environments in the course of living are structured, predictable, and explicable; (2) the resources are available to one to meet the de-



mands posed by these stimuli; and (3) these demands are challenges, worthy of investment and engagement.”

Three themes, as implied in the definition, are central in the SoC: comprehensibility, manageability and meaningfulness.

1. *Comprehensibility* refers to a person’s ability to make sense of stimuli and occurrences, the ability to understand them.

2. *Manageability* refers to a person’s ability to cope with stimuli and occurrences, the extent to which a person has resources, or the ability to gather resources, to deal with them.

3. *Meaningfulness* refers to a person’s ability to make emotional sense of stimuli and occurrences, that what happens is worth investing and engaging in.

The three central themes are intertwined and should not be viewed as subscales. The scale is used as an overall index, where high SoC score (strong SoC) resembles a good capacity to cope with problematic and potentially stressful situations in life, and low SoC score resembles the opposite (weak SoC). In a recently published epidemiologic study on a Finnish population of 30 to 64 year old subjects, the authors concluded that low SoC score was associated with myogenous TMD findings and that SoC as a psychosocial aspect had a role in the background of TMD.<sup>43</sup>

A search on PubMed with the terms “Sense of Coherence AND 2010” resulted in 28 hits on articles published in the year 2010, on varying topics in medicine, psychology and sociology, during two months (January and February). Many studies have used SoC as a measure of patient ability to cope with different pain conditions. In a German study SoC was tested on a large community sample of subjects.<sup>44</sup> The authors’ conclusion was that SoC depended on age and gender, with women and older people estimating a lower SoC score. On the other hand Eriksson and Lindström<sup>45</sup> stated in a 2006 review article that SoC score tends to be higher with age, and that it is a cross-culturally applicable instrument measuring how people manage stressful situations and stay well. In a study on women suffering from fibromyalgia, the patients with a stronger

SoC estimated their well-being to be better compared to those with a weaker SoC.<sup>46</sup>

A person's experience of general health is comprehensive and important, since it affects quality of life. Many studies have investigated the connection between patient's general health and TMD symptoms,<sup>47-50</sup> and it seems that such symptoms have a negative impact on oral-health-related quality of life and general health.<sup>51</sup> In a group of 50-year-old subjects with self-reported TMD problems, variables with relation to general health were more commonly found.<sup>48</sup> The same researchers reported that impaired general health was found to be the strongest risk factor for self-reported TMD symptoms.<sup>47</sup>

### **TMD management**

Simple and effective TMD management modalities for the general practitioners are preferable when managing patients with prevalent conditions as TMD. The goals for the management include reduction of pain and anxiety, reduction of parafunctional activities, and restoration of an acceptable jaw function to enable normal daily activities. Patient perception of pain is often influenced by fear and anxiety, due to unknown pain origin. Misconception and focused attention on the actual pain are other factors that may influence the TMD pain perception. Many different types of treatment modalities have been recommended separately or in combination. Counseling and thorough information about the etiology of TMD pain is an important and essential part of the treatment. It gives the patient a feeling of enhanced control of the pain which might influence the pain intensity in a positive way. For successful management and counseling profound knowledge about TMD etiology is crucial for the clinician in order to instruct and calm the patient about signs and symptoms of TMD. A better patient understanding and possibility to discuss different adequate treatment modalities, enhances treatment outcome.<sup>52</sup> Consequently, pedagogical skill is an advantage for the clinician when managing these patients.

Fricton<sup>53</sup> reports in a systematic review on current evidence in management of TMD, that occlusal treatments such as occlusal adjustment, restorative dentistry, orthodontics and orthognathic surgery, are typically irreversible and the evidence concerning its therapeutic effects on TMD is insufficient. Therefore reversible treatment modalities such as self-care after professional instructions, physical therapy, and cognitive-behavioural therapy should initially be used to manage TMD.

For more than a century different kinds of intraoral/interocclusal appliances have been used for treatment of pain and dysfunction related to TMD.<sup>54, 55</sup> Ten years ago it was estimated that 30 000-40 000 appliances were performed a year in Sweden, which at that time had a population of about 8 millions.<sup>56</sup> Many different appliances have been described in the odontological literature. A commonly used appliance in Sweden is the stabilisation appliance made in hard acrylic. A review by Clark<sup>57</sup> concluded that treatment response to occlusal appliance therapy varied between 70% and 90%. In the systematic review by Fricton<sup>53</sup>, the author reports that intraoral appliances showed modest active therapeutic effects in reducing TMD pain compared to a control appliance in more severe patients and comparable results of other treatments. In another review, the authors concluded that the clinical effectiveness to relieve pain seems modest for stabilisation splints when compared with pain treatment methods in general, in treating patients with myofascial face pain.<sup>58</sup> In 2003 Türp et al.<sup>59</sup> stated on the currently best available evidence, that it appears as if most patients with TMD pain of myogenous origin are helped by stabilisation appliance therapy. However, in two recently published systematic reviews including randomised controlled trials (RCT), no definite recommendation about appliance use were given due to inconclusive results regarding the efficacy of appliance therapy.<sup>60, 61</sup>

The resilient appliance, produced in a soft plastic material, is also commonly used in Sweden for treatment of bruxism and TMD.<sup>56</sup> The appliance can be produced fast and at a low cost, which is an advantage in the clinical situation. However, only a few studies have evaluated the efficacy of resilient intraoral appliances, and the

results presented are contradictory.<sup>62, 63</sup> A literature PubMed-search was performed in January 2010 in order to make an inventory of current knowledge on resilient intraoral appliances as treatment modality for TMD. The search contained three blocks of terms and MeSH-terms: “occlusal splints” and “facial pain” and “soft, flexible, or resilient”. Parallel to these terms “clinical trial” and “randomised controlled trial” were added. This search resulted in 37 articles most of which (25) were orthodontic studies. Five articles were characterised and presented as RCTs on treatment outcome of resilient appliances.<sup>62-66</sup> The remaining articles dealt with oral and maxillofacial surgery and sleep apnoea syndrome. Two of the five RCTs reported positive treatment outcome of the soft splint<sup>63, 65</sup> and one study concluded, admittedly on a limited number of study participants, that this type of appliance may be equally as useful as a hard stabilisation appliance.<sup>66</sup> This result was in line with True-love et al. who suggested that clinicians should consider prescribing low-cost non splint self-care therapy for most patients, since this modality was as good as combining it with either a soft vinyl splint or a conventional hard acrylic splint.<sup>62</sup> In their RCT three different treatment modalities were studied: “usual conservative, dentist-prescribed self-care treatment”; UT with a conventional flat-plane hard acrylic splint; and UT with a soft vinyl splint (a low-cost athletic mouth-guard). The authors concluded that neither splint therapy provided a greater benefit than self-care treatment alone did, nor did conventional appliance therapy offer any benefit over therapy with the thermoplastic vinyl athletic mouth-guard splint. This study is, hitherto, the only long-term RCT studying a resilient type of appliance.<sup>62</sup> The fifth study found, by Limchaichana et al.<sup>64</sup> concluded that treatment outcome of the resilient appliance was not related to changed condyle position in patients with TMD pain.

Since the resilient appliance is often used by general practitioners it is important to evaluate efficacy and effectiveness in a short-term as well as in a long-term perspective. It is also important to study the treatment modality according to complications and wear, especially in the long-term perspective. The scientific support for the efficacy and effectiveness of hard acrylic stabilisation appliances is much better than that for resilient intraoral appliances<sup>67</sup> Friction

stated in the review from 2006 that more research is needed to study the efficacy of different types of appliances for TMD.

### **Evaluating treatment outcome**

A structured and well defined evaluation process is essential when evaluating treatment outcome in different pain conditions. In order to be able to compare treatment outcome between studies of different treatment modalities in systematic reviews and meta-analyses, a standard set of outcome measures in clinical trials is preferred. Meetings of people representing academia, governmental agencies and the pharmaceutical industry was held 2003, 2005 and 2008 based on the Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials (IMMPACT).<sup>68-70</sup> The objective was to provide recommendations for core outcome domains that should be considered by researchers when conducting clinical trials and studies on efficacy and effectiveness on different chronic pain conditions. The meeting participants were provided with references on treatment outcome and different evaluating instruments. Initially, consensus was reached that clinical trials should consider six core domains: (1) pain, (2) physical functioning, (3) emotional functioning, (4) participant ratings of improvement, (5) symptoms and adverse events, (6) participant disposition.<sup>70</sup>

When measuring pain, self-report should be considered the “golden standard”, due to the subjective nature of pain.<sup>68</sup> For most clinical trials on chronic pain the primary treatment outcome is pain intensity. Pain relief appears to be the hallmark of successful treatment in most current models of TMD as reported in the clinical literature.<sup>71</sup> Commonly used methods of rating pain intensity include the 11-point (0-10) numerical rating scale (NRS), the visual analogue scale (VAS), and the verbal rating scale (VRS). They are all reliable and valid, but NRS and VRS tend to be preferred over VAS measures by patients, due to difficulties in estimating and reporting chronic pain on a non-stepped scale. The VAS has been shown to have low precision when compared to other stepped scales as NRS and verbal scales.<sup>72</sup> The recommendations of IMMPACT are that NRS should be the first choice and that the categorical rating of

pain intensity by VRS could be used in circumstances in which numerical ratings may be problematic.<sup>68</sup> When analysing and reporting absolute changes in pain intensity, a 30% reduction of self-reported pain on NRS or VAS should be interpreted as a clinically relevant positive treatment outcome in patients with chronic pain conditions.<sup>73</sup>

Due to the multifactorial etiology of chronic pain, assessment of physical functioning, emotional functioning, participant rating of global improvement and satisfaction with treatment, is important. Generic measures of physical functioning may assess multiple aspects of function, including activities of daily life. Disease-specific measures assess problems associated with specific conditions that may not be assessed by generic measures and may also be more responsive to the effects of treatment.<sup>69</sup> The use of a disease-specific measure of physical functioning is recommended by IMMPACT in chronic pain clinical trials when a suitable and well-accepted one is available.

Adverse events or effects of treatment and participant disposition should be measured and reported in modern research in order to be transparent for critical evaluation of clinical trials and thereby enable adequate conclusions to be drawn. Participant disposition is important to report for correct interpretation of results of clinical trials. The Consolidated Standards of Reporting Trials (CONSORT) guidelines<sup>74</sup> were developed to serve as a guide to reporting results of clinical trials. Information about the recruitment process, excluded participants and reasons for exclusion, subjects who refused participation and why, other deviations such as concomitant treatment, withdrawals of patients at follow-ups and reasons for this, should be described when reporting randomised controlled trials.

The extensive work performed by the IMMPACT group was summarised and reported in a consensus statement in the *Journal of Pain* 2008. They recommended 4 core chronic pain outcome domains to be used when planning and performing clinical trials on chronic pain conditions, pain intensity, physical functioning, emo-

tional functioning, and participant ratings of overall, global improvement.<sup>69</sup>

When it comes to long-term follow-up studies on TMD pain, it is important to take into consideration the natural time courses with the influence of different factors of the TMD conditions. In a study from 2008 by van Selms et al.<sup>75</sup> the authors concluded that there is an association between baseline reports of pain and impairment, oral parafunctional activities, pain elsewhere in the body, somatisation, and the severity and time course of myofascial TMD complaints following treatment. There was a positive correlation with values of characteristic pain intensity (CPI), which is a mean value of worst experienced, average and present TMD pain, at baseline and at follow-up, however the influences of reported parafunctions and of pain elsewhere in the body on CPI scores were not significant. Patients with a low somatisation score at baseline showed a further decline in CPI during follow-up, whereas patients with a high score showed a gradual increase in CPI.

The placebo effect is another well-known factor influencing treatment outcome. In a literature review by Greene et al.<sup>76</sup> from 2009, the authors report that the major finding from their systematic review was that concepts about placebo effects and responses have changed dramatically over the years, for example due to that a change has occurred primarily as a result of more sophisticated experimental protocols using placebos in clinical studies of patients suffering from different pain conditions, as well as various studies involving normal subjects. The knowledge of biological and psychological mechanisms underlying placebo effects has increased significantly due to developments in the technology of brain imaging. Due to up-graded, comprehensive brain-imaging analyses, we now know that placebo analgesia is definitely a real biological phenomenon. The placebo response to various treatment modalities for TMD is no exception. Every treatment for pain contains a placebo component, which sometimes is a powerful active counterpart. Health providers treating pain patients have to be aware of the importance of the placebo phenomenon.<sup>76</sup>





# OBJECTIVES

The aims of the studies and the thesis were to:

- Examine two groups of patients with TMD pain, myofascial pain and arthralgia/osteoarthritis in combination with myofascial pain, and compare the clinical diagnoses according to RDC/TMD with MRI findings (**I**)
- Investigate the influence of MRI findings on the clinically assessed diagnoses according to RDC/TMD in TMD pain patients. (**Thesis**)
- Investigate the short-term efficacy of a resilient appliance compared to a non-occluding control appliance in a 6 and 10 weeks perspective in patients with TMD pain (**II**)
- Investigate whether the lack of difference in treatment outcome between patients provided with a resilient appliance and a non-occluding control appliance was due to the treatment or whether other factors were of importance for the treatment outcome (**III**)
- Study the association between SoC and grade of depression, and grade of non-specific physical symptoms, and general health in patients with TMD pain (**III**)

- Evaluate the long-term efficacy according to IMMPACT of a resilient appliance compared to a non-occluding control appliance both in a 6 and 12 month perspective when treating patients with TMD pain (**IV**)
- Evaluate wear and durability of a resilient appliance (**IV**)

# HYPOTHESES

- Clinical diagnoses according to RDC/TMD could not be confirmed by MRI findings in patients with TMD pain (**I**)
- The clinically assessed diagnoses according to RDC/TMD may be influenced by MRI findings in patients with TMD pain (**Thesis**)
- Treatment outcome in the short-term with a resilient appliance is better than a non-occluding control appliance in TMD pain patients (**II**)
- Factors other than treatment with an intraoral appliance, influenced the treatment outcome (**III**)
- Severe grade of depression and/or severe grade of non-specific physical symptoms and poor general health are associated with a low SoC (**III**)
- Treatment outcome in the long-term with a resilient appliance was no better than obtained with a non-occluding control appliance on all four domains according to IMMPACT (**IV**)
- Visible wear of a resilient appliance was expected after 12 months' of use (**IV**)



# MATERIALS AND METHODS

## Patients (I-IV)

Five hundred and thirty two eligible patients were screened according to inclusion and exclusion criteria from 1584 patients, referred for TMD treatment between April 2000 and April 2003, to the Department of Stomatognathic Physiology, Faculty of Odontology at Malmö University in Malmö, Sweden. In 1052 referrals the text revealed one or more of the exclusion criteria of the study. Eighty patients fulfilled the inclusion criteria for the study and they were randomised to one of two groups (a treatment group and a control group). A detailed flow-chart for participating patients are presented in Fig. 1.

During study planning, determination of sample size was made to decide what group size would be needed to obtain a significant difference between treatment and control groups using a two-tailed test at the 5% level. Calculation was based on a success rate of 30% or more reduction of CPI and TMD pain at worst on the VAS.<sup>73</sup> If the true success rates in the control and treatment groups were 30% and 70%, respectively, a group size of 60 patients (30 patients per study group) would yield slightly more than 90% power.<sup>77</sup> To compensate for probable drop-outs the number of recruited patients was higher than originally planned (Fig. 1).

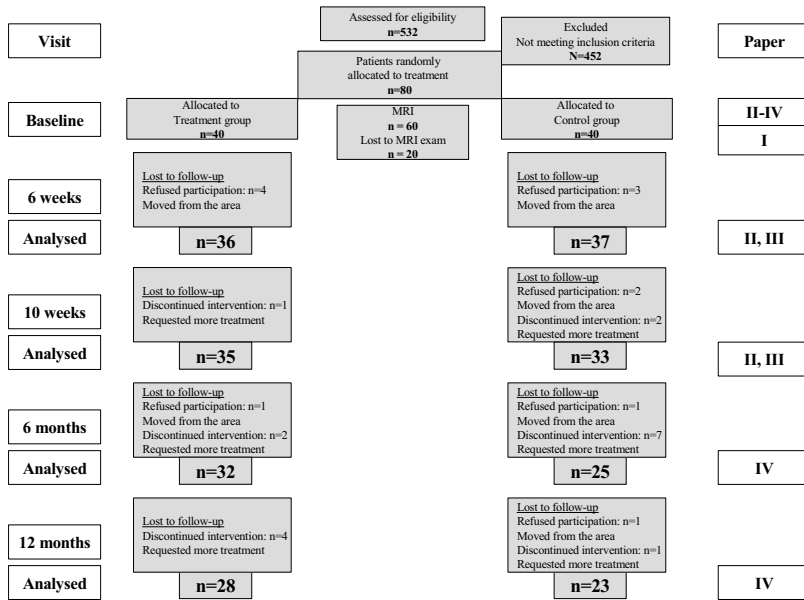


Figure 1. Flow chart of the patients in Paper I-IV.

The inclusion criteria were:

- reported TMD pain of at least 3 months' duration located in the masticatory muscles and/or the TMJ's, verified by a history questionnaire and a clinical examination according to the RDC/TMD.<sup>8</sup>
- self-assessed TMD pain at worst had to be at least 40 mm on a 100-mm VAS with the endpoints "no pain at all" and "worst pain imaginable".<sup>78</sup>
- a full dentition with sustained molar support but third molars or two other teeth missing was considered acceptable.

The exclusion criteria were:

- previous treatment with occlusal appliances
- symptoms related to disease in other parts of the stomatognathic system (e.g., toothache, neuralgia)
- pain due to systemic disease (e.g., rheumatoid arthritis)
- fibromyalgia
- pain due to a whiplash-associated disorder
- history of psychiatric disorders
- inability to answer a questionnaire due to difficulties with the Swedish language

## **Procedures (I-IV)**

### **Diagnosing and imaging (I)**

After having filled in the history questionnaire, the studied patients were examined clinically by two dentists, according to the RDC/TMD system at the Department of Stomatognathic Physiology at Malmö University, Malmö, Sweden. The patients received their clinical diagnosis/es accordingly and all 80 participating patients were then referred to the Department of Oral and Maxillofacial Radiology at Malmö University, Malmö, Sweden, for MRI of the TMJ's and for panoramic radiography, to exclude dental reasons for their pain. Twenty patients declined or were unable to participate in the MRI examination (Fig. 1). The reasons for patients not participating in the MRI examination were, among others, obesity, pregnancy, and claustrophobia. Sixty patients were examined with MRI of the TMJs.

Bilateral MR images were taken of the TMJs in a Siemens Magnetom Vision, 1.5 Tesla machine (Siemens, Erlangen, Germany) with a TMJ surface coil at the Department of Radiology, Skåne University Hospital, Malmö, Sweden.

MRIs were taken in the closed mouth position and the patients were instructed to close their mouth with the teeth in maximal contact. Images were also taken in the open mouth position with the

assistance of a stepped plastic bite-block placed between the upper and lower incisors to stabilise the jaws and thereby enable MRI of good quality. Use of the bite-block was practiced before the examination. The patients were asked to open their mouths as much as they could without experiencing unbearable pain. The examination included sagittal and coronal imaging of the TMJ in the closed mouth position and sagittal images in the open mouth position. Sagittal sections were orientated perpendicular to the long axis of the condyle, and coronal sections were taken parallel to the long axis. The orientation was determined using axial localisers, one for the closed mouth position and one for the open mouth position. Proton density (PD) and T2-weighted images were acquired using a double-echo turbo spin-echo sequence (TE = 15/105ms, TR = 2400 [sagittal] or 2000 [coronal], turbo factor = 7, FOV = 160x160 mm<sup>2</sup>, matrix = 255x512, and slice thickness = 3 mm). Each sequence yielded 8–10 sections.

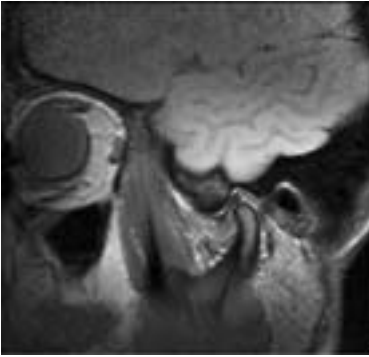
One highly experienced oral radiologist and one post-graduate student with some experience of MRI interpreted all examinations blindly without knowledge of the clinical diagnoses of the patients. In cases of disagreement a mutual decision was taken.

The images were interpreted for:

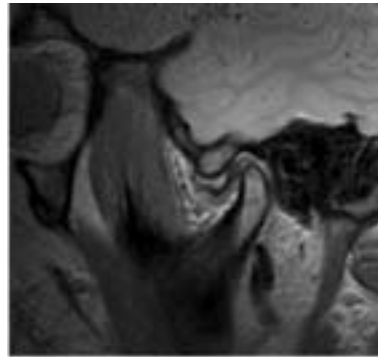
1. Disc position (Figs. 2a and 2b)
2. Joint fluid (Fig. 2c)
3. Structural bone changes indicating osteoarthritis/osteoarthrosis. (Fig. 2d)

In the thesis a comparison was made of clinical diagnoses and MRI findings. The initial clinical diagnoses according to RDC/TMD were compared to the findings of MRI, and a comprehensive diagnosis was established for each patient.

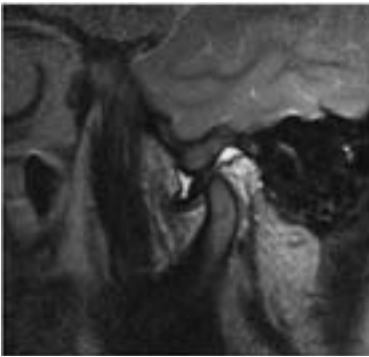




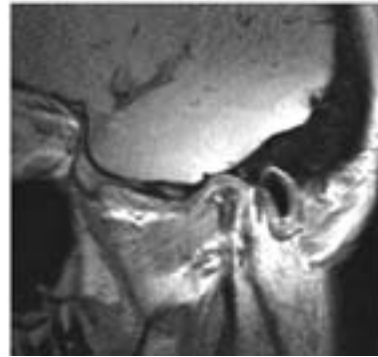
*Figure 2a*



*Figure 2b*



*Figure 2c*



*Figure 2d*

*Figure 2a. MRI of normal temporomandibular joint. PD weighted.*

*Figure 2b. MRI of temporomandibular joint with disc displacement. PD weighted.*

*Figure 2c. MRI on temporomandibular joint with effusion. T2 weighted.*

*Figure 2d. MRI of temporomandibular joint with structural bone changes, osteophyte formation and subcondral cyst. PD weighted.*

## Experimental Methods (II-IV)

At baseline, two examiners performed initial screening, history-taking, and clinical examination. Patient characteristics such as age, gender, ethnicity, marital status, level of education, occupation, place of residence, and duration of TMD pain were studied. All patients were informed about their clinical diagnoses according to RDC/TMD and the lack of a clear-cut cause of their TMD pain and contributing factors. They were reassured and informed about the nature of TMD; about the relationship between muscle fatigue, TMD pain, and psychophysiologic aspects of stress; and about how to self-monitor TMD symptoms.

At baseline and at all follow-ups, patients filled in an earlier Swedish version of the RDC/TMD history questionnaire, where VAS was used instead of NRS when current, average and worst pain was measured. Treatment outcome variables were calculated at baseline and at follow-ups. RDC/TMD examination techniques were used in the clinical examination at baseline and at follow-ups.

The studies were performed as RCT's, and patients were randomised to the treatment group, treated with a resilient appliance or to the control group treated with a palatal hard non-occluding appliance after the initial screening. Patients were allocated by two independent dental assistants to exclude any involvement of the dentists who were kept "blind" during examination and follow-up procedures throughout the studies. The dental assistants randomised the patients in blocks of 10, with 5 concealed sheets with the text "resilient appliance" and 5 with the text "control appliance", to one of the two groups. The randomisation procedure was repeated until 80 patients were included (Fig.1). The same examiners evaluated the patients after treatment. Both examiners were blinded to group assignment. A TMD specialist, not involved in examination and evaluation of treatment outcome, prepared for, delivered and adjusted the appliances.

The 4 domains according to IMMPACT recommendations, pain intensity, physical functioning, emotional functioning, and partici-

pant ratings of overall, global improvement, were considered when evaluating treatment outcome.

#### *Pain intensity*

Primary treatment outcome measures were considered a 30% reduction of TMD pain at worst registered on VAS and a 30% reduction of CPI at 10-week follow-up and the 12-month follow-up. A reduction of at least 30% on VAS was considered clinically significant.<sup>73</sup>

#### *Physical functioning*

Jaw function was measured by the Jaw Disability Checklist in Axis II, at baseline, at the 10-week follow-up and at the 12-month follow-up.

#### *Emotional functioning*

The modified SCL-90-R instrument in Axis II was used when measuring grade of depression, non-specific physical symptoms, and the 29-item SoC questionnaire was used measuring the SoC. Measurements with the modified SCL-90-R were performed at baseline and the 12-month follow-up, and with the SoC questionnaire at baseline.

#### *Global improvement*

Global improvement was measured according to a 6-point verbal rating scale (0=symptom-free, 1= much better, 2= better, 3= unchanged, 4= worse, 5= much worse) at the 12-month follow-up.

Additional outcome measures included frequency of TMD pain, and occlusal changes after using the appliances. Wear and use of the appliances were registered, and the patients were asked to report any kind of discomfort associated with the appliance therapy. The number of patients needed to be treated (NNT), which is the number of patients who must receive a particular therapy for one to benefit,<sup>79</sup> was calculated on the basis of primary outcome at the 10-week follow-up and at the 12-month follow-up. Questions about frequency and influence of headache were added to the Axis II questionnaire and thereby measured.

### Treatment Method (II-IV)

Two different intraoral appliances were used as treatment alternatives in the study, a resilient appliance (treatment group) and a control appliance (control group). The resilient appliance had a rather smooth, flat surface and made contact with supporting teeth (Fig. 3a). The appliance covered all occlusal surfaces in the maxilla and occluded with contacts in the molar, premolar, and canine regions after adjustment. The resilient appliance was produced of a 4-mm thick BIOPLAST® clear-transparent film (Scheu Dental GmbH, Iserlohn, Germany) in a BIOSTAR® heat and vacuum press (Scheu Dental GmbH, Iserlohn, Germany).

The hard non-occlusal control appliance, had palatal coverage and clasps to attach to one molar on each side of the maxilla. All the control appliances were produced by the same dental technician. The appliance did not cover occlusal surfaces and did not alter the intermaxillary relationship (Fig. 3b).



*Figure 3a. The resilient appliance. Figure 3b. The control appliance.*

The TMD specialist who delivered all the appliances after adjustments, informed the patients about how to use them. They were instructed to use the appliances at night for 10 weeks and after that period, when needed. All patients were informed in the same way and they were scheduled to attend the same number of visits. Some patients demanded minor adjustments in between follow-ups due to comfort reasons.

### SoC, grade of depression, non-specific physical symptoms and general health (III)

To measure the patients coping ability, the SoC scale was used.<sup>41</sup> The scale is available in a 29 question format, and in a 13 question, short format. In study (III) patients filled in the original 29-item questionnaire which results in an overall index where a high score indicates a strong SoC (graded in weak 136, moderate 137-148, and strong 149), depending on SoC score according to Langius which represents a good coping ability.<sup>42, 80</sup>

Grade of depression and non-specific physical symptoms was measured by the modified SCL-90-R in Axis II (RDC/TMD) in three grades (normal, moderate, severe).<sup>39</sup> It consists of 32 questions including 20 measuring tendency of depression and 12 measuring the tendency of non-specific physical symptoms. The figures of all items are added and the sum is divided by the numbers of items answered. Grade of depression and grade of non-specific physical symptoms was assessed as normal, moderate or severe.

Patients self-reported general health was assessed at follow-ups, by a five-grade scale (1=poor, 2=fair, 3=good, 4=very good, 5=excellent).

A regression analysis was performed in order to evaluate age, gender, SoC grade, grade of depression, grade of non-specific physical symptoms, and general health as possible influencing factors for the treatment outcome.

To test any association, SoC was compared to grade of depression, grade of non-specific physical symptoms, and general health.

## **Statistical methods (I-IV)**

Descriptive statistics with means and standard deviations were used when presenting values such as for age and intensity of TMD pain. **(I-IV)**

Chi-square test and Fisher's exact test were used to compare the distribution of categorical variables. **(I, II, IV)**

Mann-Whitney U test were used for differences between groups for ordinal variables such as pain measurements with VAS. **(II, IV)**

McNemar's test was used for categorical variables for within-group comparisons. **(II, IV)**

Wilcoxon's signed-rank test was used for analysing ordinal variables within groups. **(II, IV)**

Paired *t*-test was used for interval variables within groups. **(I, II, IV)**

Kappa statistics were used to evaluate observer agreement when analysing MRI. The kappa values were interpreted according to the guidelines of Landis and Koch<sup>81</sup> adapted by Altman<sup>82</sup>. **(I)**

Logistic regression models with likelihood ratio tests were used when analysing data. The effect of the treatment was tested in two regression models. In the first model the treatment outcome was tested, whereas in the second model, the treatment outcome was tested after correcting for six possible background variables. One-way ANOVA test was used when testing the association between SoC and grades of depression, non-specific physical symptoms and general health. A significance level of  $\alpha = 5\%$  was used in all the tests. **(III)**

Variance analysis was performed to investigate differences between SoC, and grade of depression, non-specific physical symptoms, and general health. A confidence interval of 95% was used. **(III)**

Intent to treat (ITT) was used when analysing primary treatment outcome and when assessing number-needed-to-treat (NNT). (IV)

Results for differences were considered statistically significant at  $p < 0.05$ . The statistical analyses were made using the Statistical Package for the Social Sciences (SPSS) 13.0 for Windows (SPSS Inc., Chicago, Ill, USA). (I-IV)

### **Ethical considerations**

The patients were thoroughly informed about the study by the examiners, and all participants gave their written consent. After application to the Ethics Committee of Lund University, Lund, Sweden the study was approved (ref. no. LU 327-00 and ref. no. LU 505-00).





## RESULTS

Seventy-three patients attended the 6-week follow-up, 68 patients the 10-week follow-up, 57 patients the 6-month follow-up, and 51 patients the 12-month follow-up (Fig 1). None of the patients attending the follow-ups received additional treatment for their TMD pain during the 12 months of appliance therapy. Patient characteristics, and intensity of TMD pain, and duration of TMD pain, and CPI, at baseline are presented in Table 1. The duration of TMD pain prior to baseline was 3 to 360 months in the treatment group (median 24 months) and 4 to 72 months in the control group (median 24 months). No statistically significant differences ( $P > 0.05$ ) in the variables before treatment were found between the treatment and control groups.

Drop-out patients did not differ regarding age and gender from the participating patients attending follow-ups (Fig 1). Thus the group of responders can be considered representative of the initial sample of patients with TMD pain, who had been randomly selected for participation.

### **Paper I and thesis**

Of the 60 patients examined with MRI, 19 were clinically diagnosed as having myofascial pain (myofascial pain group) and 41 as having arthralgia/osteoarthritis in combination with myofascial pain (arthralgia/osteoarthritis group). The number of days between clinical examination and MRI examination varied from 17 to 157 days, with a mean of 67 and a median of 63.

Two patients in each TMD pain group had no MRI findings. A statistical difference in MRI findings between the two TMD pain groups was only found regarding disc displacement in combination with joint fluid, which was found significantly ( $P = 0.047$ ) more often in the arthralgia/osteoarthritis group compared to the myofascial pain group. A high percentage of disc displacement was found in the TMJs in both groups, significantly higher in the arthralgia/osteoarthritis group ( $P = 0.002$ ). Structural bone changes and joint fluid were found in both groups. Flattening and osteophytes were the most common structural bone changes.

The agreement between the clinical diagnosis of disc displacement and the corresponding MRI findings was studied. In 101 joints diagnosed clinically as having no disc displacement, only 37 were confirmed at MRI. In 14 TMJs with the clinical diagnosis disc displacement with reduction, the diagnosis was confirmed in 11 TMJs (79%) on MRI. In 17 TMJs with the MRI diagnosis disc displacement without reduction the diagnosis was set in two joints clinically.

Taking the MRI findings into consideration, 7 of the 19 patients in the myofascial pain group received a diagnosis of osteoarthritis. Of the originally 41 patients in the arthralgia/osteoarthritis group, 21 had their initial clinical diagnosis changed from arthralgia to osteoarthritis. Twelve patients in the myofascial pain group and 35 in the arthralgia/osteoarthritis group had MRI findings of disc displacement. Of the 12 patients in the myofascial pain group only 1 had a clinical diagnosis of disc displacement. In the arthralgia/osteoarthritis group 11 of the 35 patients with MRI findings of disc displacement, had a clinical diagnosis of disc displacement. In total 28 of the 60 (47%) patients had the initial clinical diagnosis changed.

## **Papers II and IV**

Twenty-four patients in the treatment group and 23 in the control group reported that they were aware of grinding or clenching their

teeth at night. At baseline all patients had a mean value of 57 for CPI and 72 for TMD pain at worst, with no differences between groups (Table 1).

	<b>Treatment group (n= 36)</b>	<b>Control group (n= 37)</b>
<b>Gender</b>		
Male	9	4
Female	27	33
<b>Age (years)</b>		
Mean	35	33
Min-Max	14-67	13-68
< 20	6	9
20-40	20	17
> 40	10	11
<b>TMD pain</b>		
Intensity		
at worst VAS mean (mm, $\pm$ SD)	75 ( $\pm$ 18)	69 ( $\pm$ 20)
CPI (mean, $\pm$ SD)	60 ( $\pm$ 17)	54 ( $\pm$ 21)
Frequency		
persistent	15	12
recurrent	20	21
one-time experience	1	4
Duration		
3–6 months	2	2
> 6 months	34	35
<b>Headache</b>		
Frequency		
persistent	8	6
recurrent	16	20
one-time experience	12	11

*Table 1. Patient characteristics, intensity, frequency, and duration of TMD pain, and frequency of headache at baseline in the treatment group and the control group.*

Clinical subdiagnoses according to RDC/TMD are presented in Table 2. All patients had a diagnosis of myofascial pain and 50 patients also had arthralgia/osteoarthritis. No significant between-group differences ( $P > 0.05$ ) were observed in the subdiagnoses.

TMD	Treatment group (n= 36)	Control group (n= 37)
Pain diagnoses:		
Myofascial pain		
without limited opening	31	34
with limited opening	5	3
Arthralgia	23	26
Osteoarthritis	1	0
Other diagnoses:		
Disc displacement		
with reduction	12	6
without reduction		
with limited opening	1	0
without limited opening	0	1
Osteoarthrosis	3	2

*Table 2. Clinical diagnoses according to RDC/TMD, of the participating patients in the treatment group and the control group.*

## Treatment outcome

### *IMMPACT*

#### *Pain intensity*

The primary treatment outcome was calculated in a per-protocol analysis at the 10-week follow-up. A 30% reduction in TMD pain at worst, at 10-week follow-up was 22 patients (63%) in the treatment group and 17 patients (52%) in the control group, with no significant difference ( $P > 0.05$ ) between the groups. Mean VAS

for patients in the treatment group not reaching a 30% reduction in TMD pain at the 10-week follow-up was 76, and 64 in the control group. A 30% reduction in CPI was found in 24 patients in the T group and in 20 patients in the C group at 10 weeks without any statistically significant differences ( $P > 0.05$ ) between the groups.

Due to the fact that 30% of the treated patients were drop-outs at the 12-month follow-up, an intent-to-treat analysis was performed to show a more realistic way to report the primary treatment outcome. A 30% reduction of TMD pain at worst, registered on VAS, was found in 19 patients (48%) in the treatment group and 14 patients (35%) in the control group, with no difference between groups ( $P = 0.256$ ). The analysis at the 12-month follow-up showed that 20 patients (50%) in the treatment group and 17 patients (43%) in the control group had 30% reduction in CPI, with no difference between groups ( $P = 0.501$ ) (Figs. 4 and 5).

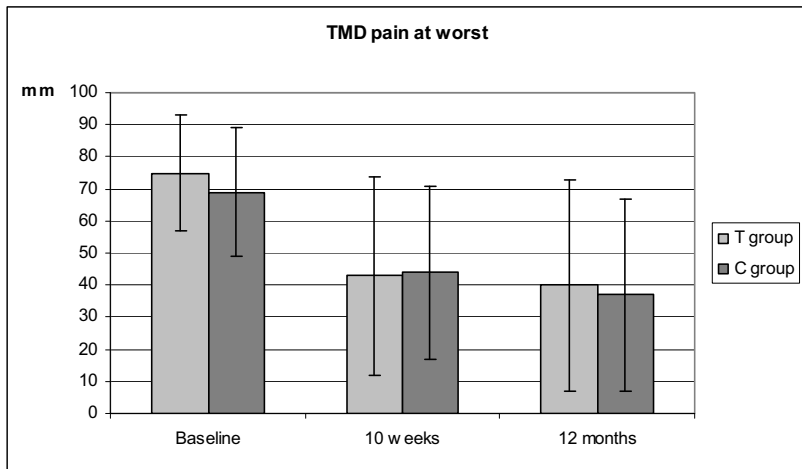


Figure 4. Mean VAS of worst experienced TMD pain at baseline, at the 10-week follow-up and at the 12-month follow-up in the treatment group (T group) and in the control group (C group).

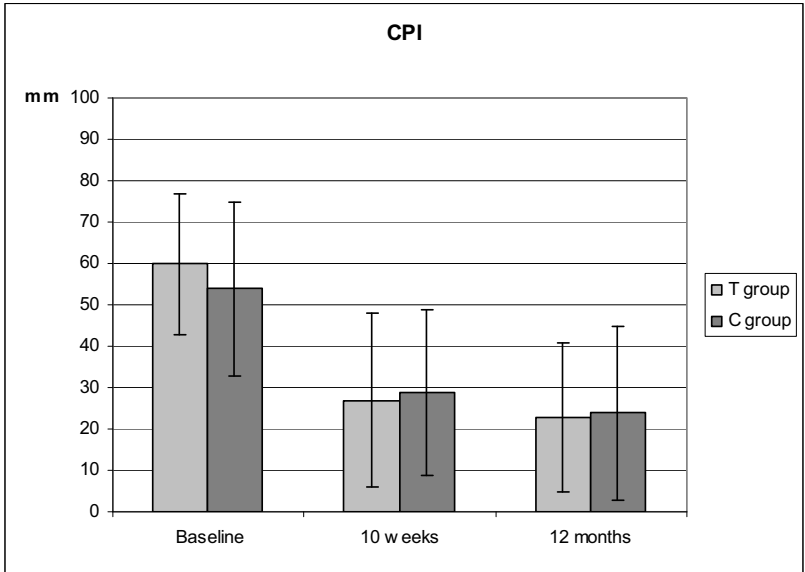
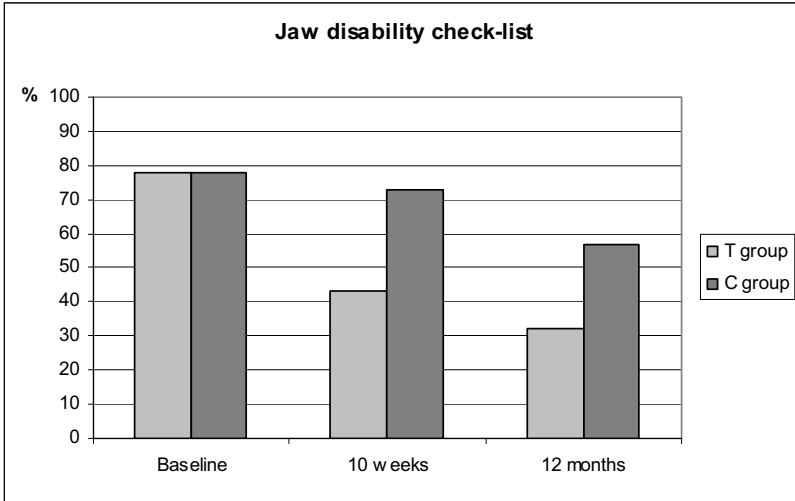


Figure 5. Mean CPI at baseline, at the 10-week follow-up and at the 12-month follow-up in the treatment group (T group) and in the control group (C group).

*Physical functioning*

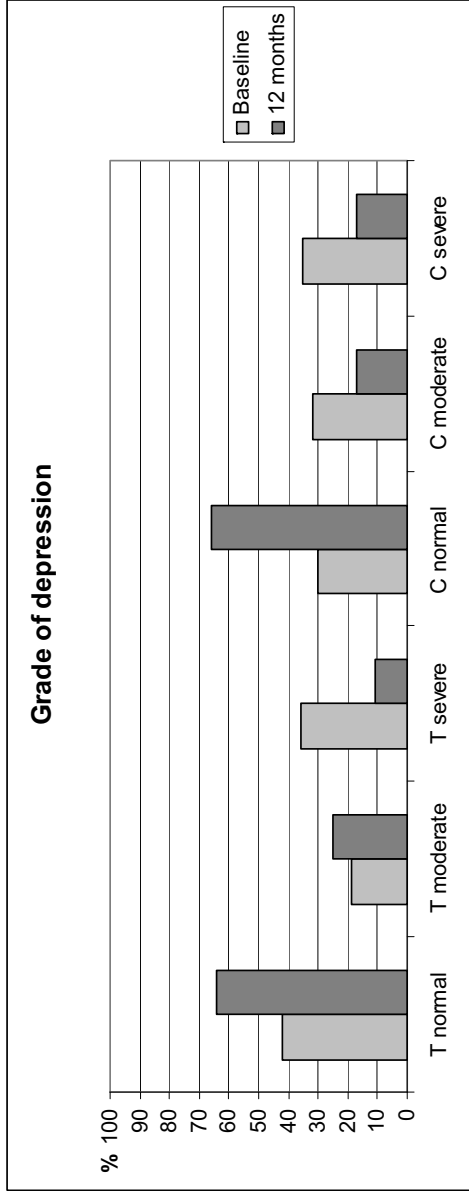
Limited or prevented activities were reported by 28 patients (78%) in the treatment group and 29 patients (78%) in the control group at baseline, without significant differences between groups (Fig). The number of patients reporting no limited jaw activities due to TMD pain (jaw disability checklist) increased significantly in the treatment group compared to the control group ( $P=0.013$ ) at the 10-week follow-up. Jaw functioning improved within both groups at 12 months (T group=9 and  $P=0.000$ , C group=13 and  $P=0.062$ ). There were no statistically significant differences at the follow-ups between the groups ( $P > 0.05$ ) (Fig. 6).



*Figure 6. Patients' self-reported jaw disability presented as percent in the treatment group (T group) and in the control group (C), at baseline, at the 10-week follow-up, and at the 12-month follow-up.*

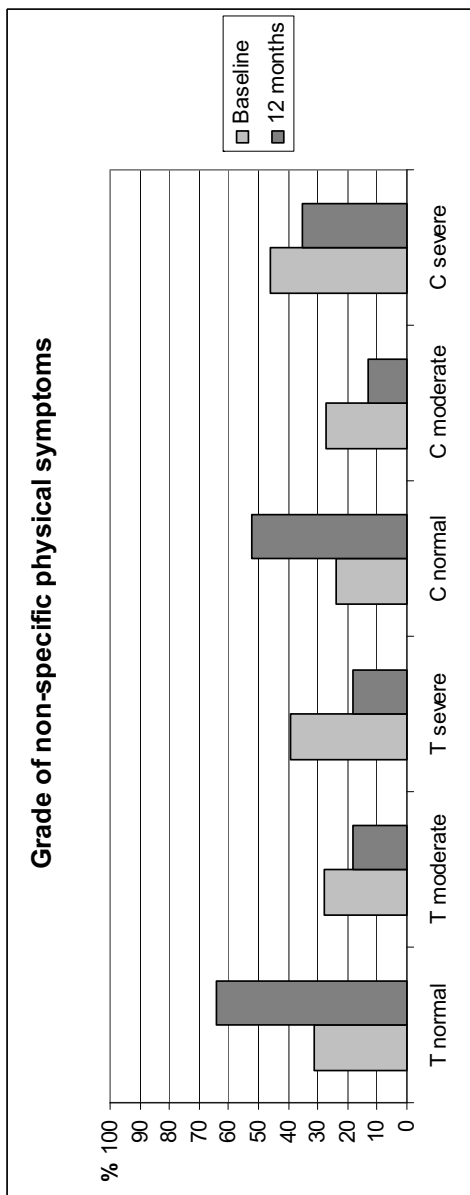
#### *Emotional functioning*

The scores for depression and non-specific physical symptoms at baseline and at follow-ups in the treatment and control groups are presented in Figures 7 and 8. In the treatment group there was a significant decrease in grade of depression at the 6-month follow-up ( $P = 0.021$ ), but not at the 12-month follow-up ( $P = 0.227$ ). Regarding grade of non-specific physical symptoms there was a statistically significant decrease at 6-month but not at the 12-month follow-up ( $P = 0.057$ ) in the treatment group. In the control group there were statistically significant decreases regarding both grade of depression ( $P = 0.002$ ) and grade of non-specific physical symptoms ( $P = 0.008$ ) at the 6-month follow-up and at the 12-month follow-up ( $P = 0.008$  and  $P = 0.008$ ). No significant differences were found between groups regarding grade of depression and non-specific physical symptoms ( $P > 0.05$ ).



*Figure 7. Grade of depression presented as percent, in the treatment group (T) and in the control group (C), at baseline and at the 12-month follow-up.*





*Figure 8. Grade of non-specific physical symptoms presented as percent, in the treatment group (T) and in the control group (C), at baseline and at the 12-month follow-up.*

### *Global improvement*

At the 12-month follow-up 25 patients out of 28 (89%) in the treatment group reported that they were “better, much better or symptom-free”, and in the control group 16 patients out of 23 (70%) reported an improvement. There were no statistically significant differences ( $P > 0.05$ ) between the groups at this follow-up.

### *Additional outcomes*

The frequency of persistent TMD pain decreased significantly within both groups over time. Fifteen patients in the treatment group and 12 patients in the control group at baseline, two patients in the treatment group and 5 patients in the control group at the 10-week follow-up, and 1 patient in the treatment group and 3 patients in the control group at the 12-month follow-up, reported persistent TMD pain. No significant differences were found between groups.

NNT was 10 for the resilient appliance at 10 weeks, when calculated on a 30% reduction of TMD pain at worst, in a per-protocol analysis. NNT calculated on a 30 % reduction on CPI was 14 for the resilient appliance at 12 months, when analysed as ITT.

At baseline 26 patients (72%) in the treatment group and 19 patients (51%) in the control group had experienced headache within the last six months prior to start. Self-reported distress of headache decreased significantly within both groups at the follow-ups, without any differences between groups. Recurrent and persistent headache decreased over time from 24 (67%) to 10 (36%) in the treatment group and 26 (70%) to 9 (39%) in the control group, without statistically significant differences between groups.

### *Occlusal appliances*

During the study self-reported use of appliance decreased similarly in the two groups. Thirty-two of the patients, at the 10-week follow-up, in the treatment group and 31 of the patients in the control group reported that they used their appliances most nights per week, corresponding figures at the 6-month follow-up were 19 in the treatment group and 14 in the control group, and at the 12-

month follow-up 13 in the treatment group and 10 in the control group.

One patient in each group reported discomfort during appliance use, at the 10-week follow-up and at the 12-month follow-up. One patient reported bad smell from the resilient appliance, and one reported unpleasantness during control appliance use. Mild to moderate wear of the resilient appliance was found in 21 patients (75%) and none of the appliances had a severe wear at the 12-month follow-up. Eleven resilient appliances were discoloured, compared to 7 control appliances at the 12-month follow-up.

#### *Adverse effects*

A reduction of 4 occlusal contacts, or more, were considered relevant and not as a result of intra-observer variety. No occlusal changes were observed in patients treated with a resilient appliance. Occlusal changes in the frontal region were registered at the 12-month follow-up in 3 patients (patients were unaware of the change/s) in the control group.

### **Paper III**

The mean value for SoC was 142 ( $\pm 25$ ) for women and 150 ( $\pm 24$ ) for men, where 22 (37%) of the women and 3 (23%) of the men had a low grade of SoC. Patients between 20 and 40 years of age had a mean SoC of 145 ( $\pm 24$ ), while the corresponding figure for older patients was 141 ( $\pm 27$ ). Mean SoC was 147 ( $\pm 23$ ) in the treatment group ( $n=36$ ) and 139 ( $\pm 27$ ) in the control group ( $n=37$ ).

In the treatment group 20 patients had a moderate or severe self-reported grade of depression according to SCL-90-R, and in the control group, the corresponding figure was 25. Self-reported non-specific physical symptoms were moderate or severe in 24 patients in the treatment group and in 27 patients in the control group. Good to excellent perceived general health was reported by 32 patients in the treatment group and by 30 patients in the control group.

A logistic regression analysis was carried out to test whether there were differences among the background variables in relation to treatment outcome. No statistically significant difference in efficacy between the resilient appliance and the non-occluding control appliance was found ( $p=0.344$ ). When testing for the six possible background variables one by one, in relation to treatment outcome no statistically significant differences were found (Table 3).

A severe grade of depression and a low grade of SoC (mean 136) were found in 26 patients (37%). Thirty-one (44%) of the studied patients had registered a severe grade of non-specific physical symptoms with a moderate grade of SoC (mean 140). A fairly good health and a low grade of mean SoC (mean 129) were found in 9 patients (12%). Two patients with bad general health had high grades of SoC (mean 154). In a variance analysis there were no statistically significant associations found between mean SoC and grades of depression, non-specific physical symptoms or general health.

	Regression coefficient	Standard error	Odds ratio	95% CI	P-value
Treatment	.47	.49	1.59	.61-4.19	.344
Gender	.90	.66	2.45	.67-8.99	.172
Age	-.00	.02	1.00	.96-1.03	.801
SOC	.01	.01	1.01	.99-1.03	.258
Non-specific physical symptoms (SCL-90R)	.28	.31	1.32	.72-2.41	.363
Depression (SCL-90R)	.37	.30	1.45	.81-2.59	.210
General health	.57	.77	.57	.13-2.55	.448

*Table 3. Logistic regression analysis made on variables that may influence treatment outcome, a 30% reduction of worst TMD pain, in the 68 TMD pain patients.*



# DISCUSSION

The overall objective of this thesis was to investigate treatment outcome in a RCT of resilient appliance therapy in patients suffering from TMD pain, diagnosed according to the RDC/TMD. A further objective was to compare subdiagnoses of TMD with findings on MRI. The pain coping ability of the patients was measured by SoC in order to study influence of psychosocial factors on treatment outcome.

Treatment outcome efficacy of the resilient appliance therapy was studied in a RCT in the short- and long term. There were no statistically significant differences regarding primary treatment outcome measures between the treatment group and the control group at the 10-week follow-up. In the long-term follow-up similar results were found between the investigated groups, with regard to the four treatment outcome domains described by IMMPACT: pain intensity, global improvement, physical functioning, and emotional functioning.<sup>69</sup>

## Diagnosing

Through the years a variety of clinical diagnostic approaches have been used when diagnosing TMD and orofacial pain conditions. Unspecific criteria and different systems have been used. The RDC/TMD was constructed and presented in 1992 since standardised diagnostic criteria for defining clinical subtypes of TMD were lacking.<sup>8</sup> The instrument was initially meant to be used for research

purposes as it allows standardisation and replication of research into the most common forms of muscle- and joint-related TMD. The system for calibrating users is one of the reasons for the good inter- and intraobserver reproducibility. Due to clear-cut diagnostic criteria it has been found to be a useful tool in everyday clinical practice when managing patients with TMD. Ongoing refinement of the instrument is continuously performed and the scientific work with the upgraded version has recently been published in 2010 by the international RDC/TMD consortium.<sup>26, 31-36</sup> In contrast to other RCT studies on the resilient splint<sup>63, 65</sup> our study used the validated and reliable RCT/TMD instrument in the diagnostic process, which strengthens the results, since comparisons may be made in the future if other research groups is about to investigate the resilient appliance as a treatment modality in TMD patients. A shortcoming, however, of the study is that the RDC/TMD instrument was slightly modified by using VAS instead of NRS when measuring self-reported intensity of worst, average and present TMD pain, in Axis II.

Abnormal TMJ findings on MR images were registered in both the myofascial pain group and in the arthralgia/osteoarthritis pain group. This was in agreement with the hypothesis that clinical diagnoses according to RDC/TMD could not be confirmed with MRI findings. Similarly, in a systematic review no clear evidence was found for a relationship between clinical and MRI diagnoses and findings.<sup>28</sup>

A study by Wiese et al.<sup>83</sup>, using RDC/TMD and sagittal TMJ tomography as imaging technique, found that the clinical diagnosis was changed for about 30% of the patients, mostly from arthralgia to osteoarthritis when the radiographic findings were taken into consideration. This is in line with the results from this thesis when it comes to the diagnosis, which should have been changed in 47% of cases, when combining clinical and MRI findings. Structural bone changes in the TMJ indicating osteoarthritis/osteoarthrosis were found in both pain groups. Minimal flattenings of the condyle and/or articular eminence is commonly found, and probably of no clinical significance.<sup>84</sup> Therefore, in patients with structural bone



changes visible on a MR image, a single flattening was considered normal. MRI is not considered an optimal modality when analysing structural bone changes.<sup>85</sup> The use of MRI may have led to underdiagnosing findings of structural bone changes as a sign of osteoarthritis and osteoarthrosis. In a project validating image analysis criteria for the RDC/TMD computed tomography was recommended for assessing osteoarthritis and MRI for disc position and effusion.<sup>86</sup> However a recent systematic review concluded that conventional tomography is currently the imaging modality of choice for diagnosing erosions and osteophytes of the TMJ, when compared to the more expensive CT modality.<sup>87</sup>

According to Wiese et al.<sup>83</sup>, who also studied the influence of a changed diagnosis on management, no change of management was considered necessary in 73% of the patients due to altered diagnoses. According to these results, even though MRI findings may contribute to a changed clinical TMD diagnosis, it may leave the treatment initially planned unchanged, this due to current treatment regimen for TMD pain. Since the investigated patients in this thesis were predetermined for a treatment study, a change of treatment was not possible to investigate. Studies are needed to investigate the diagnostic thinking efficacy and therapeutic efficacy for MRI.<sup>29</sup> The MRI findings in this thesis were not taken into consideration for the final comprehensive diagnoses according to RDC/TMD, which is stated in the criteria for the diagnostic system. However, the RCT treatment part of the study was possible to perform since one of the inclusion criteria incorporated patients with TMD pain, and the pain diagnoses of RDC/TMD are not dependant of MRI findings.

There was a time interval between the clinical and the MRI examination in this study. In an ideal situation is that clinical examination and MRI examination should be performed as soon as possible after each other. However, the everyday clinical situation in Sweden, means that it is difficult to perform the MRI examination during the same visit as the clinical examination. This is due to the long waiting time to gain access to a MRI machine for a TMJ examination. Long time interval could, of course, influence findings

on MRI due to natural fluctuation of particularly TMJ disc displacement with reduction. Some patients may have developed a disc displacement during the waiting time and others may have recovered when compared to the clinical examination. Findings of disc displacement without reduction, structural bone changes and joint fluid, however, were probably not influenced by the time interval.

The recommendations by IMMPACT were followed for evaluating treatment outcome in the long-term follow-up, which strengthens the structure of the study. John et al.<sup>23</sup> reported that the reliability of diagnostic classification improved when diagnoses were grouped into pain versus non-pain diagnoses, which further strengthens the results of this thesis, since only patients with TMD pain was studied. The authors concluded that in clinical decision-making and research, an adequate and reliable diagnosis is critical in establishing a clinical condition and when considering a rational approach to treatment. They further concluded that the RDC/TMD demonstrates sufficiently high reliability for the most common TMD diagnoses, supporting its use in clinical research and decision making.<sup>23</sup> In a recently published study<sup>32</sup> on the work with an updated RDC/TMD Axis I version the authors concluded that the revised RDC/TMD Axis I TMD diagnostic algorithms were recommended for myofascial pain and joint pain as reliable and valid. However, revised clinical criteria alone, without recourse to imaging, were considered inadequate for valid diagnosis of two of the three disc displacements as well as osteoarthritis and osteoarthrosis.

According to Hofmann and Eriksen<sup>3</sup>, referring to the triad of disease-illness-sickness, TMD belongs to the spheres of illness and sickness but not the sphere of disease. A common cause for seeking dental or medical care among TMD patients is the pain and discomfort they may experience, therefore TMD is classified as an illness. In cases of dysfunction and/or chronic TMD pain, the sickness sphere can also be relevant. However, today when using the systematic RDC/TMD system, with clear-cut diagnostic criteria, subdiagnoses such as myofascial pain and osteoarthritis may be classified a disease. The TMJ disc displacement, which is often a

harmless condition, is no more to be classified as a disease, but rather a prevalent illness and/or sickness. When the triad was described in the 1960's classification systems such as RDC/TMD did not exist. Regardless of the categorisation of conditions and ailments used, the interpretation changes as research continues and further knowledge develops. Therefore the spheres for the TMD subdiagnoses, according to the triad, may change with time.

## **Resilient appliance therapy**

There were no statistically significant differences between the treatment group and the control group regarding treatment outcome efficacy, however 50% of the investigated TMD pain patients benefited from treatment with both the resilient appliance and the control appliance, when calculating ITT of a 30% reduction of CPI. The control appliance has been used in other RCTs studying the stabilisation appliance showing a treatment effect of about 50% in the short-term perspective and around 30% in a longer perspective, which is slightly above the expected placebo effect.<sup>88, 89</sup>

The results of our study are in line with a study by Truelove et al.<sup>62</sup> All three studied groups in their investigation showed a significant decrease in CPI at follow-ups, at 3, 6 and 12 months, however no significant differences in TMD-related pain was found between the groups at baseline or at any follow-up. All participating patients in that study had a RDC/TMD group I diagnosis according to their inclusion criteria. The changes from baseline were comparable for all three groups. The authors did not note any significant differences at any follow-up for compliance with study protocols or for occurrences of adverse effects from either splint type. Muscular pain, studied by Truelove et al., decreased significantly in all groups including the soft splint group.

In a study by Okeson<sup>90</sup> the author found an increased nocturnal muscle activity in healthy subjects when wearing soft appliance. This may indicate that the resilient appliance can increase clench-

ing, however only 10 patients in each studied group were participating in that study, where a soft occlusal splint was compared to a hard occlusal splint. Increased clenching caused by the use of a soft splint could be an explanation to why no difference was found when comparing the soft appliance to control treatment.<sup>62, 91</sup> Especially, as more than 60% of the patients before the start of our study were aware of grinding or clenching their teeth at night.<sup>91</sup>

When taking the established results of this thesis into consideration, it would have been more appropriate to perform an effectiveness study at this stage, since most of the resilient appliances made are made by general practitioners.<sup>56</sup> There is a lack of effectiveness studies, and the different clinical indications for general practitioners to use resilient appliances are mostly unknown. Therefore it would be interesting to investigate current indications for using resilient appliances as treatment for TMD patients in the general practice, and when this is elucidated efficacy studies could be performed from a clearer clinical point of view. Then cost benefit analysis would be more interesting to perform, since cost-effectiveness is highly dependant on the indication for treatment and treatment outcome.

Jaw functioning was improved in both studied groups. According to the jaw disability checklist in Axis II, patients in both groups reported that limited jaw activities decreased significantly at the 10-week follow-up. However, no difference was found at the 12-month follow-up, which is in line with no differences being found between the groups regarding intensity and frequency of TMD pain. One important contributor to an individual's oral-health-related quality of life is limitations in orofacial functioning<sup>92</sup>.

The studied patients showed moderate to high baseline scores for non-specific physical symptoms and depression, which corresponds well with other studies<sup>93, 94</sup>. It seemed that TMD-pain patients were more distressed than healthy individuals. All participating patients had a diagnosis of myofascial pain, and non-specific physical symptoms has been found to be a significant risk factor for developing myofascial pain<sup>95</sup>. High scores for depression were found in

36% of the patients in this study and depression has been found to be related to pain perception<sup>96,97</sup>.

Headache within the last 6 months prior to treatment start was reported by 72% of the patients in the treatment group and in 51% of the patients in the control group at baseline. In both groups a significant decrease of self-reported distress caused by headache was found at follow-ups, however with no difference between groups. The results are in line with a study by Ekberg and Nilner<sup>88,98</sup> who found that a reduction of muscles tender to palpation correlated significantly to improvement of headache at follow-ups in patients with TMD with myogenous pain. They concluded that the studied stabilisation appliance seemed to have a statistically significant better positive short- and long-term effect compared to the control appliance on tension-type headache, in the studied category of patients. The control appliance was the same design as used in our study.

In the short-term NNT value was calculated to be 10 and in the long-term the NNT value increased to 14, which could be explained by the smaller number of studied patients in the long-term follow-up, due to several drop-outs. When calculating the different treatment outcome variables intent to treat figures were used to present the result in a more realistic way, compensating for the drop-outs. However, the high drop-out figure has to be considered a shortcoming of the study. When comparing the resilient appliance with the stabilisation appliance, which have shown better NNT value (3) when treating TMD patients, the resilient one has to be considered to be a treatment modality with poor efficacy when treating adult patients with TMD pain.<sup>88</sup>

In a retrospective and prospective study by Lindfors et al.<sup>99</sup> the soft appliance was investigated as a treatment combined with a stabilisation appliance in the opposing jaw, in patients, refractory to previous TMD treatment. The authors concluded that the investigation showed that a combined treatment with a hard acrylic stabilisation appliance and a soft appliance in the opposing jaw seemed to give an improvement of TMD signs and symptoms in apparently

therapy resistant TMD patients. A further conclusion drawn was that their results should be taken with caution due to the fact that the study did not include any control group, and that there was an obvious need for RCTs concerning the efficacy and effectiveness of the combined treatment presented. A combination treatment like this may booster pain reduction of the treatment, however prospective RCTs should be conducted before this can be recommended.

In a study by Harkins et al.<sup>100</sup> it was found that 67% of patients with TMD pain received transient occlusal changes after soft appliance use. The changes consisted of minor intrusion of molars and premolars. These kinds of changes were not found in our study, in which the resilient appliance was adjusted to bilateral stable occlusal contacts in the canine, premolar and the molar regions (even though not easy to perform), and the control appliance was adjusted so that obvious occlusal contacts were eliminated. However, three patients in the control group were found to have minor occlusal changes in the frontal region, which the affected patients were unaware of. These findings in our study may be due to intraobserver bias, even though occluding foil was used to minimise such bias.

Mechanisms of action of occlusal splints have been discussed for many years. The exact mechanism is still unclear, and the treating ability of intraoral appliances is probably not dependant on one specific factor, but several both intrinsic (the appliance it self) and extrinsic (patient related) factors may interact and contribute. One mechanism that has been discussed is the influence of the appliance on occlusion.<sup>57</sup> The resilient appliance may reduce some of the heavy loading that occurs during parafunctional activity, however the control appliance in our study showed no significant difference in treatment outcome, which may be interpreted as other mechanisms of action being more important. Another factor possible influencing the effect of an occlusal appliance is change in the condyle-fossa relationship.<sup>101, 102</sup> In a study by Limchaichana et al. the effect on condyle position on MRI was studied with and without a resilient appliance.<sup>64</sup> The resilient appliance changed the condyle in 76% of the TMJs and mostly in an anterior direction.

However, treatment outcome was not related to changes in condyle position.

For a TMD treatment to be effective one should consider the timing of introducing the treatment. This may be one factor influencing treatment outcome. Due to the current psychosocial status of the individual patient, motivation for treatment compliance may be high or low. Regardless, it has to be kept in mind that placebo effect, spontaneous remission and regression to the mean are important factors for positive treatment outcome, especially in the long-term.<sup>6</sup> However, the impact of these factors on the result ought to be the same in both groups, due to the randomisation process.

In a study by Van Selms et al.<sup>75</sup> time course of myofascial TMD complaints was investigated over a 12 month period. The authors showed that baseline values of CPI had a positive correlation with CPI during follow-up. Patients with a low score on non-specific physical symptoms showed a further decline in CPI during follow-up, whereas patients with a high score showed a gradual increase. Patients with high scores on non-specific physical symptoms showed a significant increase in values of mandibular function impairment. They concluded that baseline self-reports of pain and impairment, oral parafunctional activities, pain elsewhere in the body, and non-specific physical symptoms are associated with the severity and time course of myofascial TMD complaints following treatment. These factors should be taken into consideration in clinical practice, when predicting treatment outcome, which is important in order to be able to give patients a proper and cost-effective treatment. In our study moderate to severe grade of self-reported non-specific physical symptoms were found in 24 patients in the treatment group and in 27 patients in the control group. No significant differences were found between the treatment groups and no correlation was found with treatment outcome in the logistic regression analysis, which is not in line with the study by Van Selms et al.

## **SoC as an influencing factor for the treatment outcome**

The psychosocial factor was measured by the SoC 29-item instrument. A statistically significant higher number of patients with low grade SoC were found in the control group at baseline. Despite this, the regression analysis showed no statistical difference in treatment outcome between the two groups. Initial low SoC, therefore, did not appear to predict a negative treatment outcome when treating TMD pain patients with a resilient appliance or a palatal, non-occluding (control) appliance. A shortcoming of the study is the low number of participating patients. This makes it difficult to draw a conclusion on SoC in TMD patients in general. However, SoC has recently been investigated in a Finnish epidemiologic study<sup>43</sup> which revealed that low SoC is associated with myogenous TMD findings. The authors also concluded that SoC as a psychosocial aspect has a role in the background of TMD. SoC is an instrument increasingly used in studies on different types of pain throughout the human body. Future studies of SoC with the 29-item questionnaire in epidemiological surveys, may therefore be of interest in order to compare TMD pain with other types of pain.

## **Implications for general practitioners and future research**

MRI should be seen as a complementary examination in the TMD pain diagnostic process. The MRI findings may influence the clinical diagnoses. However, the effect on planned treatment is unknown and referral criteria for MRI are unclear. In patients with suspected osteoarthritis, which include structural bone changes, other radiographic modalities should be considered, as MRI may underdiagnose bone changes.

In future research on the next version of RDC/TMD, it would be interesting to study when the complement of radiographic imaging may contribute to the clinical diagnosis, which in the future may result in more clear-cut indications for when imaging may be useful in the diagnostic process. This would enable improved TMD diag-



nosing and more effective treatment strategies, in favour of the suffering TMD pain patient.

To evaluate the evidence for efficacy of MRI a prospective study of consecutive TMD pain patients should be performed without any delay of the MRI examination. The influence of MRI findings on the clinical diagnoses and treatment outcome could be tested in a RCT with groups with and without MRI.

Lindfors et al.<sup>56</sup> have shown that stabilisation appliances and resilient appliances are widely used by the general practitioners in Sweden. The resilient type of appliance is used due to its relatively low cost, and has therefore been considered a cost-effective treatment modality in patients with TMD. The results presented in this thesis, however, questions the benefit of the treatment modality as a successful choice when treating adult patients suffering from chronic TMD pain. Possible treatment indications for the resilient appliance may be treatment of TMD in children and adolescents changing teeth due to a demand for flexibility for erupting teeth, and as a short-term treatment of acute TMD in adults. However, this was not the scope for this thesis. To make a statement, evidence-based, future high quality RCTs are necessary.



# CONCLUSIONS

Conclusions which can be drawn from the results of this thesis:

- Clinical diagnoses according to RDC/TMD are not always confirmed by findings on MRI. **(I)**
- The clinical diagnoses were changed depending on the MRI findings. **(Thesis)**
- The capabilities of the resilient appliance and the non-occluding control appliance to reduce symptoms in patients with TMD pain were similar in the short term. **(II)**
- None of the studied background variables (age, gender, SOC, depression, non-specific physical symptoms, general health) seem to be of importance for the short-term treatment outcome in patients treated with resilient or non-occluding intra-oral appliances. **(III)**
- No association was found for SOC compared to depression, non-specific physical symptoms and general health in TMD-pain patients. **(III)**
- There was no statistically significant difference between the resilient appliance and the non-occluding control appliance in reducing TMD pain, physical functioning, emotional functioning and headache in a 12-month perspective. **(IV)**

- The resilient appliance seems to have good durability, since most of the appliances showed no or mild wear at the 12-month follow-up. (IV)

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